JAMA Open "

# Predictive Value of Early Autism Detection Models Based on Electronic Health Record Data Collected Before Age 1 Year

Matthew M. Engelhard, MD, PhD; Ricardo Henao, PhD; Samuel I. Berchuck, PhD; Junya Chen, PhD; Brian Eichner, MD; Darby Herkert, BS; Scott H. Kollins, PhD; Andrew Olson, MPP; Eliana M. Perrin, MD, MPH; Ursula Rogers, BS; Connor Sullivan, PhD; YiQin Zhu, BS; Guillermo Sapiro, PhD; Geraldine Dawson, PhD

# Abstract

**IMPORTANCE** Autism detection early in childhood is critical to ensure that autistic children and their families have access to early behavioral support. Early correlates of autism documented in electronic health records (EHRs) during routine care could allow passive, predictive model-based monitoring to improve the accuracy of early detection.

**OBJECTIVE** To quantify the predictive value of early autism detection models based on EHR data collected before age 1 year.

**DESIGN, SETTING, AND PARTICIPANTS** This retrospective diagnostic study used EHR data from children seen within the Duke University Health System before age 30 days between January 2006 and December 2020. These data were used to train and evaluate L2-regularized Cox proportional hazards models predicting later autism diagnosis based on data collected from birth up to the time of prediction (ages 30-360 days). Statistical analyses were performed between August 1, 2020, and April 1, 2022.

**MAIN OUTCOMES AND MEASURES** Prediction performance was quantified in terms of sensitivity, specificity, and positive predictive value (PPV) at clinically relevant model operating thresholds.

**RESULTS** Data from 45 080 children, including 924 (1.5%) meeting autism criteria, were included in this study. Model-based autism detection at age 30 days achieved 45.5% sensitivity and 23.0% PPV at 90.0% specificity. Detection by age 360 days achieved 59.8% sensitivity and 17.6% PPV at 81.5% specificity and 38.8% sensitivity and 31.0% PPV at 94.3% specificity.

**CONCLUSIONS AND RELEVANCE** In this diagnostic study of an autism screening test, EHR-based autism detection achieved clinically meaningful accuracy by age 30 days, improving by age 1 year. This automated approach could be integrated with caregiver surveys to improve the accuracy of early autism screening.

JAMA Network Open. 2023;6(2):e2254303. doi:10.1001/jamanetworkopen.2022.54303

# Introduction

Detection of autism early in childhood is critical to ensure that autistic children and their families have access to appropriate supportive resources. In particular, early detection is a necessary step toward early behavioral support, which has been associated with improved outcomes.<sup>1-3</sup> To improve rates of early detection, the American Academy of Pediatrics has recommended universal screening at age 18 to 24 months,<sup>4.5</sup> and a recent Lancet Commission on the future of care and clinical research in autism reaffirmed the importance of prompt access to supportive services to help autistic children develop and succeed.<sup>6</sup> However, results from the US Autism and Developmental Disabilities

Den Access. This is an open access article distributed under the terms of the CC-BY License.

JAMA Network Open. 2023;6(2):e2254303. doi:10.1001/jamanetworkopen.2022.54303

# **Key Points**

**Question** Can autism be detected from routine electronic health records (EHRs) with clinically meaningful accuracy before age 1 year?

Findings In this diagnostic study of 45 080 children, the accuracy of EHR-based early autism detection models at age 30 days was competitive with caregiver surveys collected at ages 18 to 24 months. Model accuracy improved further by age 1 year.

**Meaning** These findings suggest that EHR-based autism detection could be integrated with caregiver surveys to improve the accuracy of early autism screening.

#### Supplemental content

Author affiliations and article information are listed at the end of this article.

Monitoring Network<sup>7</sup> showed that in 2018, the median age at first diagnosis was 50 months, implying that most autistic children are still identified too late to fully benefit from early support.

The most commonly used early autism screening tools are the Modified Checklist for Autism in Toddlers With Follow-up (M-CHAT-F) and its revised version (M-CHAT-R/F), which are valid from age 16 to 30 months. Recent analysis in a large pediatric network found that the M-CHAT-F detects autism with 39% sensitivity and 15% positive predictive value (PPV).<sup>8</sup> Another early screening measure, the Social Attention and Communication Surveillance-Revised, outperformed the M-CHAT-F, reaching 62% sensitivity and 83% PPV between ages 12 and 24 months.<sup>9</sup> A third measure, the Parent's Observations of Social Interaction, showed 83% sensitivity at 75% specificity in a combined primary care and subspecialty sample of 232 children.<sup>10</sup> While these measures are essential tools supporting early detection, there is still a need to develop new approaches and use additional sources of information to boost their accuracy and reliability. Furthermore, alternative approaches may be better suited to mitigate subjectivity and biases in existing measures. For example, the M-CHAT-F performs worse among girls, racial and ethnic minority children, and children from lower-income households.<sup>8</sup> These biases may contribute to disparities in diagnosis, such as the delays in diagnosis observed in girls<sup>11</sup> and the delays and lower rates of diagnosis observed in racial and ethnic minority indiviuals.<sup>12,13</sup> Finally, the aforementioned measures are recommended at age 16 to 30 months, but earlier suspicion may improve oversight or facilitate more timely support.

Passive monitoring of electronic health record (EHR) data is a promising alternative approach to early detection. A variety of known early correlates of autism are documented in the EHR, including low birth weight,<sup>14</sup> preterm birth, low Apgar scores, and other perinatal complications.<sup>15,16</sup> Early autism-related conditions,<sup>17</sup> such as postnatal hyperbilirubinemia<sup>18</sup> and respiratory infections,<sup>19</sup> are also documented using diagnosis codes. In addition, problems with crying, sleeping, and feeding, which are associated with later autism diagnosis,<sup>20</sup> may be documented in clinical notes or reflected in high rates of visits to specific health services. Any of these findings has limited predictive value in isolation, but collectively, EHR data may be adequate to detect autism effectively from a very early age. In our previous work, we found that autism was associated with distinctive patterns of health care use before age 1 year, such as increased rates of intubation and ventilation, physical therapy visits, and ophthalmology visits.<sup>21</sup> More recently, Onishchenko et al<sup>22</sup> demonstrated that predictive models developed using claims data-and based only on the patient's previous diagnosis codesprovided meaningful information about autism as early as age 50 weeks and were sufficient to detect autism with 52% sensitivity and 16% to 19% PPV (for male and female individuals, respectively) at age 150 weeks. As these results showed, the performance of these models was superior to the M-CHAT. Furthermore, similar performance was observed when the models were applied to diagnosis records from the University of Chicago Medical Center.<sup>22</sup>

We hypothesized that models incorporating a more comprehensive set of EHR-based predictors in addition to diagnosis codes could detect autism with performance exceeding that of the M-CHAT much earlier than 29 months, the age required to reach this level of performance using diagnostic codes alone.<sup>22</sup> Based on our previous observation that distinctive patterns of health care use begin at a very early age as well as the large number of autism-related findings that occur in the perinatal period, we further hypothesized that autism detection would be possible much earlier than age 1 year and as early as 30 days after birth. To investigate these hypotheses, we leveraged more than 14 years of EHR data from the Duke University Health System (DUHS), a large academic medical center located in and around Durham, North Carolina, to train and evaluate EHR-based early autism detection models.

Our evaluation focused primarily on the association of patient age at the time of prediction (ie, the data collection window) with autism detection performance. However, we also aimed to thoroughly explore differences in performance between groups defined by sex, race and ethnicity, and other demographic variables as a preliminary exploration of potential biases associated with EHR-based autism detection. Last, we aimed to quantify the effect of other neurodevelopmental conditions, including attention-deficit/hyperactivity disorder (ADHD), on autism detection

performance. More than 40% of autistic children have co-occurring ADHD symptoms,<sup>23,24</sup> and their quality of life<sup>25</sup> and adaptive functioning are lower compared with autistic children without co-occurring ADHD.<sup>25,26</sup> Early identification is particularly challenging in this group because autism diagnosis is delayed by a mean of 3 years in those first diagnosed with ADHD<sup>27</sup>; therefore, it is important to assess whether EHR-based detection is effective in these groups specifically.

# Methods

The procedures used in this diagnostic study were approved by the Duke Health Institutional Review Board (eMethods in Supplement 1). Participant consent was waived due to the minimal risk posed by the study procedures and infeasibility of obtaining consent in a large retrospective cohort. The study followed the Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis (TRIPOD) reporting guideline for prediction model development and validation.

#### **Data Source**

All results were based on retrospective data analysis of EHRs from DUHS. This health system provides care to approximately 85% of children in Durham and surrounding Durham County, which has a diverse, majority racial and ethnic minority population with varying demographic and socioeconomic status.<sup>28</sup> Records were extracted from the current DUHS EHR (2013 to present), which is based on the platform developed by Epic, as well as several EHR platforms operating before 2013. This study was conducted at the Duke University School of Medicine between August 1, 2020, and April 1, 2022. All analyses were executed within the Duke Protected Analytics Computing Environment, a highly protected virtual network space designed for protected health information.

Study inclusion criteria were as follows: (1) date of birth between October 1, 2006, and December 1, 2019; (2) at least 1 recorded encounter within the DUHS before age 30 days (between January 2006 and December 2020); and (3) at least 2 total recorded encounters within the DUHS before age 1 year. Criteria 1 and 2 were designed to ensure that model input features were available before age 30 days and that similar criteria could be applied in a prospective model evaluation or deployment. Data associated with DUHS encounters occurring between October 1, 2006, and June 1, 2021, were extracted for patients meeting the inclusion criteria. Demographic information, including race and ethnicity, was determined based on available EHR fields.

# **Case Definitions and Cohort Selection**

Autism (autism spectrum disorder) and other neurodevelopmental conditions were identified using computable phenotypes based on billing codes from the *International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)* and the *International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM)*. For a particular condition, a patient was defined as a case if (1) codes for that condition were documented on 2 or more distinct calendar dates or (2) a code for that condition was associated with an encounter at a DUHS clinic specializing in neurodevelopmental disorders. The *ICD-9-CM* codes 299.00, 299.01, 299.80, 299.81, and 299.90<sup>29</sup> as well as *ICD-10-CM* codes F84.0, F84.5, F84.8, and F84.9 were associated with autism. A complete list of codes associated with ADHD, intellectual disability, genetic neurodevelopmental conditions, and other neurodevelopmental conditions can be found in eTable 3 in Supplement 1.

Patients meeting criteria for any of these conditions were included in the analysis. In addition, a population of control participants not meeting criteria for any of these conditions was selected for inclusion. Since the prevalence of each condition increases with age, our selection of control participants was stratified by year of birth to avoid possible bias. Specifically, we selected as many control participants as possible while maintaining the same ratio of autism case patients to control participants across all birth years. This procedure was also designed to yield a sample-specific autism prevalence that was similar to the prevalence of autism within the DUHS overall.

# **Model Development and Evaluation**

Prediction models were based on EHRs recorded by ages 30, 60, 90, 180, 270, and 360 days. The date of the last observed DUHS encounter was taken as the date of last follow-up (ie, right-censoring time). Data were divided at random into a development set (60%) used to train models and tune hyperparameters and a test set (40%) used to evaluate the performance of the final model. An L2-regularized Cox proportional hazards model was selected following evaluation of multiple competing approaches. The area under the receiver operating characteristic curve (AUC), the average (mean) positive predictive value (AP), and the concordance index were used to evaluate performance. Since lifetime diagnosis status was highly uncertain for patients with a short follow-up, we primarily report the AUC<sub>t</sub> and AP<sub>t</sub>, defined as the AUC and AP when limiting negative cases to individuals followed up for at least *t* years. Additional model development and evaluation details are presented in the eMethods in Supplement 1.

# **Statistical Analysis**

Differences in rates of each sex, racial and ethnic group, and other neurodevelopmental conditions between autism case patients and control participants were calculated by cross-tabulating each variable with autism diagnosis and applying a  $\chi^2$  test. Differences between autism case patients and control participants in the number of encounters in the first year of life were compared with the Mann-Whitney test. The Nelson-Aalen estimator was used to estimate the cumulative rate of autism diagnosis between ages 0 and 14 years. Differences in discrimination performance between models were tested for statistical significance by applying a DeLong test to the respective AUC values.<sup>30</sup> The average influence of each predictor (diagnosis codes, procedure codes, laboratory measurements, medications, vital signs, and encounter details) on model predictions (ie, feature importance) for each model was quantified by calculating the mean absolute value of all Shapley additive explanation values<sup>31</sup> for that predictor on individuals in the test set. The influence of each predictor group (eg, diagnoses, demographics) was calculated by summing these values across all predictors in that group. Pearson correlations were calculated to quantify trends in the influence of each predictor group over time. Test statistics were assessed for statistical significance at a threshold of a = .05. Statistical analyses were conducted between August 1, 2020, and April 1, 2022, using scipy for Python version 3.7. Also, as mentioned in the eMethods in Supplement 1, model development was in scikit-survival for Python version 3.7.

# Results

# **Description of Cohort**

A total of 63 016 children met the study eligibility criteria (eResults in Supplement 1). Of these individuals, 924 (1.5%) satisfied our autism computable phenotype, with a median age of 5.2 years (IQR, 3.5-7.6 years) at the time of diagnosis. An additional 175 individuals had at least 1 autism-related diagnosis code but did not satisfy our autism diagnosis criteria. These individuals were included only in secondary analyses exploring sensitivity to our computable phenotype. Among those not meeting autism criteria, 10 782 met criteria for ADHD or another neurodevelopmental condition and were therefore included. A total of 47 540 individuals had no diagnosis codes related to a neurodevelopmental condition and were therefore eligible for selection as control participants. Of these, 33 374 were selected to balance the age of birth distribution between the autism and control groups. In total, 45 080 individuals were included in the study (eFigure 1 in Supplement 1). The number of encounters observed by age in autism case patients and control participants is summarized in eFigure 2 of Supplement 1, and the estimated prevalence of autism diagnosis by age is summarized in eFigure 3 of Supplement 1. The 924 case patients consisted of 738 males (79.1%), 186 females (20.1%), and 8 American Indian or Alaska Native (0.9%), 32 Asian (3.5%), 323 Black (35.0%), 3 Native Hawaiian or Pacific Islander (0.3%), 369 White (39.9%), and 40 multiracial (4.3%)

children as well as 149 children (16.1%) whose race was unknown. Characteristics of all the study participants are summarized in eTable 1 in Supplement 1.

#### **Prediction Performance Over Time**

Of the 45 080 individuals included in this study, 18 032 were randomly assigned to the test set, including 363 autism case patients and 3721 control participants meeting the computable phenotype for 1 or more other neurodevelopmental conditions. A total of 3615 control participants were followed up through age 8 years and were therefore included when calculating our primary performance measures (eg, AUC<sub>8</sub>).

As shown in **Figure 1**, the AUC<sub>8</sub> ranged from 0.76 at 90 days to 0.77 at 270 days. In contrast, the AP<sub>8</sub> increased from 0.24 at 30 days, a 3.8-fold increase over the autism rate (6.2%), to 0.27 at 270 days, a 4.3-fold increase over the autism rate. When control participants with other neurodevelopmental conditions were excluded (eFigure 4 in Supplement 1), the AUC<sub>8</sub> ranged from 0.79 at 30 days to 0.82 at 270 days, and the AP<sub>8</sub> ranged from 0.41 at 30 days to 0.49 at 270 days. The sensitivity of these results to the required follow-up threshold *t* is summarized in eFigures 5 and 6 in Supplement 1. The concordance index ranged from 0.765 at 30 days to 0.774 at 360 days, as shown in eTable 2 in Supplement 1.

Figure 1. Autism Model Prediction Performance by Age at Time of Prediction A Performance by age via the AUC **B** Performance by age via average positive predictive value 1.0 0.6 0.9 0.5 0.8 0.4 Prediction model AUC 0.7 AP<sub>8</sub> 0.3 Prediction model 0.6 0.2 0.5 0.1 No information Empirical prevalence: 0.06 0.4 0 300 350 0 50 100 150 200 250 400 0 50 100 150 200 250 300 350 400 Age at time of prediction, d Age at time of prediction, d C Receiver operating characteristic curve D Precision-recall curve 1.0 1.0 AP<sub>8</sub> at 30 d: 0.24 AP<sub>8</sub> at 60 d: 0.26 AP<sub>8</sub> at 90 d: 0.24 0.8 0.8 AP<sub>8</sub> at 180 d: 0.25 AP<sub>8</sub> at 270 d: 0.27 AP<sub>8</sub> at 360 d: 0.26 True-positive rate 50 Empirical prevalence: 0.06 0.6 Precision 0 4 AUC<sub>8</sub> at 30 d: 0.76 AUC<sub>8</sub> at 60 d: 0.77 AUC<sub>8</sub> at 90 d: 0.76 0.2 0.2 AUC<sub>8</sub> at 180 d: 0.77 AUC<sub>8</sub> at 270 d: 0.77 AUC<sub>8</sub> at 360 d: 0.77 No information 0 0 0.4 0.6 0.2 0.8 1.0 0.2 0.8 1.0 Ó 0.4 0.6

Prediction performance is shown for models based on data collected from birth through ages 30, 60, 90, 180, 270, and 360 days, respectively. Case patients were defined as children later meeting our autism computable phenotype, and control participants were defined as children followed up through age 8 years but not meeting our phenotype.

False-positive rate (1-specificity)

 $\rm AP_8$  indicates average (mean) positive predictive value for individuals followed up through age 8 years; AUC\_8, area under the receiver operating characteristic curve for individuals followed up through age 8 years.

Recall

Model performance over time at several operating points with varying sensitivity and specificity is summarized in **Figure 2** and in the eResults and eTable 2 in Supplement 1. The operating points are depicted in eFigure 7 in Supplement 1. Model-based autism detection at age 30 days achieved 45.5% sensitivity and 23.0% PPV at 90.0% specificity. Detection by 360 days achieved 59.8% sensitivity and 17.6% PPV at 81.5% specificity, and 38.8% sensitivity and 31.0% PPV at 94.3% specificity. Model calibration is summarized in eFigure 8 of Supplement 1.

# Prediction Among Individuals With Other Neurodevelopmental Conditions

We also evaluated the model's ability to detect autism among individuals in the test set with other neurodevelopmental conditions. There were 768 individuals with an ADHD diagnosis and adequate follow-up; 105 (13.7%) also had an autism diagnosis. Limited to these 768 individuals, the AUC<sub>8</sub> was 0.65 at 30 days (**Figure 3**) and 0.66 at 360 days (eFigure 9 in Supplement 1). The AP<sub>8</sub> was 0.24 at 30 days and 0.24 at 360 days, a 1.7-fold increase over the autism rate. There were 1767 individuals with other neurodevelopmental conditions and adequate follow-up; 292 (16.5%) also had an autism diagnosis. Limited to these 1767 individuals, the AUC<sub>8</sub> was 0.70 at 30 days and 0.69 at 360 days. The AP<sub>8</sub> was 0.34 at 30 days and remained at 0.34 at 360 days, a 2.1-fold change over the autism rate. Corresponding results could not be obtained for genetic neurodevelopmental conditions and intellectual disability due to their low rates in the test set (n = 3 and 20, respectively).

Last, we evaluated the model's ability to identify the 71 individuals with an autism diagnosis among the 3686 individuals with no other identified neurodevelopmental condition. Limited to these 3686 individuals, the  $AUC_8$  was 0.78 at 30 days and 0.77 at 360 days. The  $AP_8$  was 0.07 at 30 days and reached 0.11 at 360 days, a 5.6-fold change over the autism rate.

#### **Performance in Subgroups**

Differences in model prediction performance were assessed by sex, race, and ethnicity (**Figure 4**). The sensitivity of the observed differences in performance between racial groups to the required follow-up threshold *t* is summarized in eFigure 10 in Supplement 1. Differences in model prediction performance were also assessed by low birth weight (eFigure 11 in Supplement 1), and EHR system in use at the time of data collection (eFigure 12 and eTable 4 in Supplement 1). Complete results are provided in the eResults in Supplement 1.

#### **Feature Importance**

The importance of different feature groups over time is presented in **Figure 5**. Complete results (eResults), including specific individual features at each time point (eFigures 13-15), effect of training phenotype (eFigure 16), and model-predicted risk in the secondary evaluation set (eFigure 17), are presented in Supplement 1.

# Discussion

Identification of autistic children early in childhood is necessary to ensure access to appropriate services and early behavioral support. Previously, we observed that autism diagnosis is associated with distinctive patterns of health care use before age 1 year, leading us to hypothesize that information documented in the EHR during routine care would be sufficient to detect autism by age 1 year or earlier. The results of this diagnostic study conducted in a large academic medical center suggest that EHR-based autism prediction reaches a clinically meaningful level of accuracy as early as 30 days after birth. We observed that almost half (45.5%) of autistic children can be identified at 30 days while maintaining high specificity (90.0%). The AP increased as data accumulated over the first year; therefore, even at very high-specificity operating points (97.0% at each time point; 94.3% overall), 38.8% of autistic children could be identified before age 1 year. However, increases in performance over time were smaller than hypothesized, which may suggest that relevant information was captured less consistently beyond 30 days or that it was present only in clinical

#### Figure 2. Identification of Autistic Children and Control Participants Stratified by Other Neurodevelopmental Conditions at Selected Operating Points B Control participants without neurodevelopmental condition A Children diagnosed with autism alone 1.0 1.0 0.8 0.8 0.6 0.6 Proportion Proportion 0.4 0.4 0.2 0.2 0 0 Positive Positive Positive Positive Negative Positive Positive Negative Positive Negative Positive Negative at 30 d at >30 d 90.0% Specificity 97.0% Specificity 90.0% Specificity 97.0% Specificity operating points operating points operating points operating points<sup>a</sup> C Children diagnosed with autism and ADHD D Control participants with ADHD 1.0 1.0 0.8 0.8 0.6 0.6 Proportion Proportion 0.4 0.4 0.2 0.2 0 0 Positive Positive Positive Positive Positive Positive Positive Positive Negative Negative Negative Negative at 30 d at >30 d 90.0% Specificity 97.0% Specificity 90.0% Specificity 97.0% Specificity operating points operating points<sup>a</sup> operating points<sup>a</sup> operating points<sup>a</sup> **E** Children diagnosed with autism and other neurodevelopmental condition **F** Control participants with other neurodevelopmental condition 1.0 1.0 0.8 0.8 0.6 0.6 Proportion Proportion 0.4 0.4 0.2 0.2 0 0 Positive Positive Positive Positive Positive Positive Positive Negative Positive Negative Negative Negative at 30 d at >30 d 90.0% Specificity 97.0% Specificity 90.0% Specificity 97.0% Specificity

<sup>a</sup> Operating points were selected for each model (30, 60, 90, 180, 270, and 360 days) individually to achieve specificity greater than or equal to the stated value.

operating points

Prediction performance is shown for individuals later diagnosed with attention-deficit/ hyperactivity disorder (ADHD), another neurodevelopmental condition, or neither. In each of these groups, case patients were defined as children later meeting our autism computable phenotype, and control participants were defined as children followed up through age 8 years but not meeting our phenotype.

operating points<sup>a</sup>

operating points<sup>a</sup>

JAMA Network Open. 2023;6(2):e2254303. doi:10.1001/jamanetworkopen.2022.54303

operating points<sup>a</sup>

notes rather than the structured fields available to our model. In contrast with existing screening tools, such as the M-CHAT, EHR-based autism detection takes place at a much earlier age ( $\geq$ 30 days) and is entirely passive, meaning that it does not require any data collection other than that which already takes place during routine care.

The results of this study were obtained not only at a very early age but also in a health system population with high rates of medical complexity and other neurodevelopmental conditions. Motivated by findings that co-occurring ADHD is associated with delays in autism diagnosis,<sup>27,32</sup> a major emphasis of this work was to assess whether autism detection remained effective in subgroups of autistic children later diagnosed with ADHD or other neurodevelopmental conditions. Although the model's ability to detect autism was lower in these groups compared with others, performance remained strong overall, particularly at high-specificity operating points likely to be required in clinical practice to maintain acceptable PPV. At such operating points (90.0%-97.0% specificity), contrary to our hypotheses, the sensitivity of autism detection was highest among

#### Figure 3. Autism Model Prediction Performance at 30 Days for Individuals With and Without Other Neurodevelopmental Conditions





0.2 0.1 n Rate of Mean Rate of Mean Rate of Mean autism autism precision autism precision precision occurrence of autism occurrence of autism occurrence of autism prediction prediction prediction ADHD present Other Other neurodevelopmental neurodevelopmental condition present condition absent D Precision-recall curve 1.0 AP<sub>8</sub> for ADHD present: 0.24 AP<sub>8</sub> for other neurodevelopmental 0.8 condition present: 0.34 AP<sub>o</sub> for other neurodevelopmental conditions absent: 0.07 0.6



A, C, and E, Proportion of children later diagnosed with autism correctly identified at 30 days, after 30 days, and not identified by 360 days. B, D, and F, Proportion of falsepositive results at 30 days and after 30 days among children not diagnosed at least through age 8 years. A and B are limited to children who did not later meet criteria for any other neurodevelopmental condition, whereas C through F include children later

meeting criteria for attention-deficit/hyperactivity disorder (ADHD) and any other neurodevelopmental condition. Positive and negative predictions were based on the high-sensitivity (90%) and high-specificity (90%) operating points shown in eFigure 7 in Supplement 1.

children with other neurodevelopmental conditions and was similar between children with and without ADHD.

Additional subgroup analyses showed that good performance was not limited to particular demographic groups. The results of this study suggest that model-based autism detection (AUC<sub>8</sub>) was more effective in girls than in boys. It was also effective across all races and ethnicities, but performance was higher in White children than in Black children despite similar numbers and rates of autism diagnoses between groups (ie, 323 of 14 549 Black children [2.2%] vs 369 of 18 871 White children [2.0%]). The effect of premature birth on model predictions is difficult to quantify directly due to the large number of diagnosis codes, procedure codes, and other features associated with prematurity that were available to the model. However, performance changed very little when excluding individuals whose earliest recorded weight was below the fifth percentile, suggesting that the model was not simply equating autism with premature birth. Differences in model-based autism detection (AUC<sub>4</sub>) before vs after DUHS adoption of the Epic EHR platform may be due to the greater detail and fidelity of EHR variables after this transition.

### Figure 4. Model Prediction Performance by Sex, Race, and Ethnicity









Performance when discriminating between children later diagnosed with autism and children not diagnosed through age 8 years was stratified by sex, race, and ethnicity. From left to right, the area under the receiver operating characteristic curve for individuals followed up through age 8 years (AUC<sub>8</sub>), the average (mean) positive

predictive value for individuals followed up through age 8 years (AP<sub>8</sub>), and the ratio of AP<sub>8</sub> to autism prevalence (AP<sub>8</sub>/prevalence) in each group are shown. Dashed vertical lines indicate performance associated with random guessing (ie, no information).

The individual feature importance statistics presented should be interpreted with caution. These results are associative only and do not suggest that a causal relationship is present. Furthermore, our models incorporate hundreds of predictors, many of which are correlated. This makes it likely that training with a different random sample would yield substantially different feature importance results. To capture the importance of gastrointestinal-related conditions, for example, one model might place greater weight on gastrointestinal diagnoses, while another might place greater weight on gastrointestinal-related procedures. In contrast, we believe that the broad trends highlighted in Figure 5 are more likely to generalize to other health systems and populations. As time goes on, the model relies less on demographics and perinatal procedures, and it instead relies more on diagnosis codes as co-occurring conditions manifest and are recognized.

An important secondary aim of this study has been to understand how performance is affected by the specific computable phenotype used to label autism diagnoses. Obtaining accurate labels can be time and labor intensive and, while critical for accurate model evaluation, having accurate labels during training is important only insofar as it improves performance. Across all time points (30-360 days), our results showed that using a weak version of our computable phenotype during training namely, defining all children with 1 or more documented autism codes as autism case patients—was just as effective as training based on a stronger, previously validated phenotype.<sup>8</sup> Determining whether this finding extends to other conditions or settings may be an important direction for future work.

Our medical record review subpopulation was important not only to validate our computable phenotype within the DUHS but also to evaluate model-based autism detection among individuals with positive M-CHAT-R/F status. Interestingly, model-predicted risk scores of autistic children identified by medical record review but not meeting our computable phenotype were similar to those of control participants at 30 days. By 360 days, on the other hand, their scores were more similar to the scores of the remaining autistic children who also met our computable phenotype. Although our primary evaluation shows that identification of individuals meeting the computable phenotype was similar at 30 and 360 days, this finding suggests that identification of true cases increases over time. Overall, results in this subpopulation suggest that model-based autism detection can help determine which children with positive M-CHAT-R/F status will be diagnosed.

We are working to further refine and deploy our models within the DUHS, integrate them within clinical workflows, and test the effect of presenting model predictions to parents and providers. To understand potential benefits of these models, it will be important to compare predictions with scores from other screening tools, including the M-CHAT, to assess whether they identify similar vs



The mean influence of each predictor (diagnosis codes, procedure codes, laboratory measurements, medications, vital signs, and encounter details) on model predictions (ie, feature importance) at 30, 60, 90, 180, 270, and 360 days was quantified by calculating the mean absolute value of all Shapley additive explanation values for that predictor on individuals in the test set. Values were then summed across all predictors in each predictor group (eg, diagnoses) to quantify the total effect of that group on predictions over time. Shaded areas represent 95% Cls.

distinct subpopulations of autistic children. Future work will also explore whether clinical notes can be leveraged to further boost performance.

# Limitations

All analyses in this diagnostic study were based on DUHS data. These results may not generalize to settings with different demographic or population health characteristics or to different EHR systems or data models. Our models can be applied only if EHR data are available in the first year of life, and it is unlikely that results could be adapted to settings where EHRs are not routinely available, including among individuals without access to health care. Our analyses of model performance were based on a validated computable phenotype rather than certain autism diagnoses. This approach is vulnerable to known biases in diagnosis, including underdiagnosis of girls and women. Further, diagnoses occurring outside of the DUHS may have been missed. Information about race and ethnicity was collected from 2006 to 2021 across multiple EHR systems and may not have been collected according to current best practices (ie, patient- or parent-report depending on age). Finally, intellectual disability was underrepresented likely because diagnoses are often made at a later age, after neuropsychological or psychoeducational testing can be completed.

# Conclusions

In this diagnostic study of an autism screening test, EHR-based early autism detection was effective by age 30 days and provided information about autism likelihood that was complementary to the M-CHAT. The results suggest that EHR-based monitoring should be integrated with the M-CHAT, other caregiver surveys, and other screening tools to improve the accuracy of early autism screening.

#### **ARTICLE INFORMATION**

Accepted for Publication: December 15, 2022.

Published: February 2, 2023. doi:10.1001/jamanetworkopen.2022.54303

**Open Access:** This is an open access article distributed under the terms of the CC-BY License. © 2023 Engelhard MM et al. *JAMA Network Open.* 

**Corresponding Author:** Matthew M. Engelhard, MD, PhD, Department of Biostatistics and Bioinformatics, Duke University School of Medicine, 2608 Erwin Rd, Durham, NC 27705 (m.engelhard@duke.edu).

Author Affiliations: Department of Biostatistics and Bioinformatics, Duke University School of Medicine, Durham, North Carolina (Engelhard, Henao); Department of Electrical and Computer Engineering, Duke University, Durham, North Carolina (Henao, Chen, Sapiro); Duke AI Health, Durham, North Carolina (Henao, Olson, Rogers); Department of Statistical Science, Duke University, Durham, North Carolina (Berchuck); Department of Pediatrics, Duke University School of Medicine, Durham, North Carolina (Eichner); Department of Psychiatry and Behavioral Sciences, Duke University School of Medicine, Durham, North Carolina (Herkert, Kollins, Sullivan, Zhu, Dawson); Department of Pediatrics, Johns Hopkins University School of Medicine, Baltimore, Maryland (Perrin); Department of Pediatrics, Johns Hopkins University School of Nursing, Baltimore, Maryland (Perrin); Duke Institute for Brain Sciences, Durham, North Carolina (Sapiro, Dawson).

Author Contributions: Dr Engelhard had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Concept and design: Engelhard, Henao, Berchuck, Chen, Kollins, Olson, Perrin, Sapiro, Dawson.

Acquisition, analysis, or interpretation of data: Engelhard, Henao, Berchuck, Eichner, Herkert, Kollins, Perrin, Rogers, Sullivan, Zhu, Dawson.

Drafting of the manuscript: Engelhard, Sullivan, Sapiro.

*Critical revision of the manuscript for important intellectual content*: Engelhard, Henao, Berchuck, Chen, Eichner, Herkert, Kollins, Olson, Perrin, Rogers, Sullivan, Zhu, Dawson.

Statistical analysis: Engelhard, Henao, Berchuck, Chen, Rogers, Sullivan, Sapiro.

Obtained funding: Kollins, Sapiro, Dawson.

Administrative, technical, or material support: Engelhard, Olson, Perrin, Rogers, Zhu, Sapiro.

Supervision: Engelhard, Henao, Kollins, Sapiro, Dawson.

**Conflict of Interest Disclosures:** Dr Berchuck reported receiving grants from the National Institutes of Health (NIH) outside the submitted work. Dr Kollins reported serving as chief medical officer of Holmusk Technologies Inc, receiving grants from the NIH during the conduct of the study, and receiving grants from Akili Interactive, Arbor Pharmaceuticals, Bose, Otsuka Pharmaceuticals, Rhodes, Shire Pharmaceuticals, and Tris Pharmaceuticals outside the submitted work. Mr Olson reported receiving grants from the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) and the National Institute of Mental Health (NIMH) during the conduct of the study. Dr Sapiro reported receiving grants from the National Science Foundation, NIH, US Department of Defense, Simons Foundation, and Cisco as well as unrestricted gifts from Google and Amazon during the conduct of the study. In addition, Dr Sapiro reported being coinventor of technology owned by Duke and licensed to Apple as well as being affiliated with Apple, Tanku Equity, and Metacept Equity outside the submitted work. Dr Dawson reported serving on the scientific advisory boards of Akili Interactive Inc, Zynerba, Nonverbal Learning Disability Project, and Tris Pharma; consulting for Apple, Gerson Lehrman Group, and Guidepoint Global Inc; and receiving book royalties from Guilford Press and Springer Nature outside the submitted work. In addition, Dr Dawson reported being coinventor of technology owned by Duke and licensed to Apple outside the submitted work. No other disclosures were reported.

**Funding/Support:** This work was supported by grants 1P50HD093074 from NICHD and R01MH121329 from NIMH (Dr Dawson).

**Role of the Funder/Sponsor**: The funders had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

Data Sharing Statement: See Supplement 2.

#### REFERENCES

1. Dawson G, Rogers S, Munson J, et al. Randomized, controlled trial of an intervention for toddlers with autism: the Early Start Denver Model. *Pediatrics*. 2010;125(1):e17-e23. doi:10.1542/peds.2009-0958

2. Rogers SJ, Estes A, Lord C, et al. A multisite randomized controlled two-phase trial of the Early Start Denver Model compared to treatment as usual. *J Am Acad Child Adolesc Psychiatry*. 2019;58(9):853-865. doi:10.1016/j. jaac.2019.01.004

3. Franz L, Goodwin CD, Rieder A, Matheis M, Damiano DL. Early intervention for very young children with or at high likelihood for autism spectrum disorder: An overview of reviews. *Dev Med Child Neurol*. 2022;64(9): 1063-1076. doi:10.1111/dmcn.15258

 Johnson CP, Myers SM; American Academy of Pediatrics Council on Children With Disabilities. Identification and evaluation of children with autism spectrum disorders. *Pediatrics*. 2007;120(5):1183-1215. doi:10.1542/peds. 2007-2361

 Hyman SL, Levy SE, Myers SM; Council on Children With Disabilities, Section on Developmental and Behavioral Pediatrics. Executive summary: identification, evaluation, and management of children with autism spectrum disorder. *Pediatrics*. 2020;145(1):e20193448. doi:10.1542/peds.2019-3448

6. Lord C, Charman T, Havdahl A, et al. The Lancet Commission on the future of care and clinical research in autism. *Lancet*. 2022;399(10321):271-334. doi:10.1016/S0140-6736(21)01541-5

7. Maenner MJ, Shaw KA, Bakian AV, et al. Prevalence and characteristics of autism spectrum disorder among children aged 8 years—Autism and Developmental Disabilities Monitoring Network, 11 sites, United States, 2018. *MMWR Surveill Summ*. 2021;70(11):1-16. doi:10.15585/mmwr.ss7011a1

8. Guthrie W, Wallis K, Bennett A, et al. Accuracy of autism screening in a large pediatric network. *Pediatrics*. 2019;144(4):e20183963. doi:10.1542/peds.2018-3963

**9**. Barbaro J, Sadka N, Gilbert M, et al. Diagnostic accuracy of the Social Attention and Communication Surveillance-Revised With Preschool Tool for early autism detection in very young children. *JAMA Netw Open*. 2022;5(3):e2146415. doi:10.1001/jamanetworkopen.2021.46415

**10**. Smith NJ, Sheldrick RC, Perrin EC. An abbreviated screening instrument for autism spectrum disorders. *Infant Ment Health J.* 2013;34(2):149-155. doi:10.1002/imhj.21356

11. Begeer S, Mandell D, Wijnker-Holmes B, et al. Sex differences in the timing of identification among children and adults with autism spectrum disorders. J Autism Dev Disord. 2013;43(5):1151-1156. doi:10.1007/s10803-012-1656-z

12. Baio J, Wiggins L, Christensen DL, et al. Prevalence of autism spectrum disorder among children aged 8 years—Autism and Developmental Disabilities Monitoring Network, 11 sites, United States, 2014. *MMWR Surveill Summ*. 2018;67(6):1-23. doi:10.15585/mmwr.ss6706a1

13. Christensen DL, Bilder DA, Zahorodny W, et al. Prevalence and characteristics of autism spectrum disorder among 4-year-old children in the Autism and Developmental Disabilities Monitoring Network. *J Dev Behav Pediatr.* 2016;37(1):1-8. doi:10.1097/DBP.00000000000235

14. Gardener H, Spiegelman D, Buka SL. Perinatal and neonatal risk factors for autism: a comprehensive metaanalysis. *Pediatrics*. 2011;128(2):344-355. doi:10.1542/peds.2010-1036

**15**. Getahun D, Fassett MJ, Peltier MR, et al. Association of perinatal risk factors with autism spectrum disorder. *Am J Perinatol.* 2017;34(3):295-304. doi:10.1055/s-0036-1597624

**16**. Buchmayer S, Johansson S, Johansson A, Hultman CM, Sparén P, Cnattingius S. Can association between preterm birth and autism be explained by maternal or neonatal morbidity? *Pediatrics*. 2009;124(5):e817-e825. doi:10.1542/peds.2008-3582

17. Doshi-Velez F, Ge Y, Kohane I. Comorbidity clusters in autism spectrum disorders: an electronic health record time-series analysis. *Pediatrics*. 2014;133(1):e54-e63. doi:10.1542/peds.2013-0819

**18**. Maimburg RD, Vaeth M, Schendel DE, Bech BH, Olsen J, Thorsen P. Neonatal jaundice: a risk factor for infantile autism? *Paediatr Perinat Epidemiol*. 2008;22(6):562-568. doi:10.1111/j.1365-3016.2008.00973.x

**19.** Wang C, Geng H, Liu W, Zhang G. Prenatal, perinatal, and postnatal factors associated with autism: a metaanalysis. *Medicine (Baltimore)*. 2017;96(18):e6696. doi:10.1097/MD.00000000006696

**20**. Werner E, Dawson G, Munson J, Osterling J. Variation in early developmental course in autism and its relation with behavioral outcome at 3-4 years of age. *J Autism Dev Disord*. 2005;35(3):337-350. doi:10.1007/s10803-005-3301-6

21. Engelhard MM, Berchuck SI, Garg J, et al. Health system utilization before age 1 among children later diagnosed with autism or ADHD. *Sci Rep.* 2020;10(1):17677. doi:10.1038/s41598-020-74458-2

**22**. Onishchenko D, Huang Y, van Horne J, Smith PJ, Msall ME, Chattopadhyay I. Reduced false positives in autism screening via digital biomarkers inferred from deep comorbidity patterns. *Sci Adv.* 2021;7(41):eabf0354. doi:10. 1126/sciadv.abf0354

**23**. Salazar F, Baird G, Chandler S, et al. Co-occurring psychiatric disorders in preschool and elementary schoolaged children with autism spectrum disorder. *J Autism Dev Disord*. 2015;45(8):2283-2294. doi:10.1007/s10803-015-2361-5

**24**. Rommelse NNJ, Franke B, Geurts HM, Hartman CA, Buitelaar JK. Shared heritability of attention-deficit/ hyperactivity disorder and autism spectrum disorder. *Eur Child Adolesc Psychiatry*. 2010;19(3):281-295. doi:10. 1007/s00787-010-0092-x

**25**. Sikora DM, Vora P, Coury DL, Rosenberg D. Attention-deficit/hyperactivity disorder symptoms, adaptive functioning, and quality of life in children with autism spectrum disorder. *Pediatrics*. 2012;130(suppl 2):S91-S97. doi:10.1542/peds.2012-0900G

**26**. Turygin N, Matson JL, Tureck K. The relationship of attention-deficit hyperactivity disorder and autism spectrum disorder to adaptive skills in young children. *Dev Neurorehabil*. 2015;18(5):317-321. doi:10.3109/17518423.2013.846947

27. Miodovnik A, Harstad E, Sideridis G, Huntington N. Timing of the diagnosis of attention-deficit/hyperactivity disorder and autism spectrum disorder. *Pediatrics*. 2015;136(4):e830-e837. doi:10.1542/peds.2015-1502

28. Stolte A, Merli MG, Hurst JH, Liu Y, Wood CT, Goldstein BA. Using electronic health records to understand the population of local children captured in a large health system in Durham County, NC, USA, and implications for population health research. *Soc Sci Med*. 2022;296:114759. doi:10.1016/j.socscimed.2022.114759

**29**. Lingren T, Chen P, Bochenek J, et al. Electronic health record based algorithm to identify patients with autism spectrum disorder. *PLoS One*. 2016;11(7):e0159621. doi:10.1371/journal.pone.0159621

**30**. DeLong ER, DeLong DM, Clarke-Pearson DL. Comparing the areas under two or more correlated receiver operating characteristic curves: a nonparametric approach. *Biometrics*. 1988;44(3):837-845. doi:10.2307/2531595

**31**. Lundberg SM, Lee SI. A unified approach to interpreting model predictions. *Adv Neural Inf Process Syst.* 2017; 30:4768-4777.

**32**. Kentrou V, de Veld DM, Mataw KJ, Begeer S. Delayed autism spectrum disorder recognition in children and adolescents previously diagnosed with attention-deficit/hyperactivity disorder. *Autism*. 2019;23(4):1065-1072. doi:10.1177/1362361318785171

#### **SUPPLEMENT 1.**

eMethods. eResults.

#### eReferences

eTable 1. Demographics and Rates of Other Neurodevelopmental Conditions

eTable 2. Performance Measures Over Time

eTable 3. Diagnosis Codes for Computable Phenotypes

eTable 4. Missingness Rate by Predictor Group

eFigure 1. Selection of Autism Case Patients and Control Participants

eFigure 2. Number of Encounters Over Time

eFigure 3. Diagnosis Timing

eFigure 4. Prediction Performance by Age Among Children Without Other Neurodevelopmental Conditions

eFigure 5. Sensitivity to Follow-up Threshold for 30-Day Models

eFigure 6. Sensitivity to Follow-up Threshold for 360-Day Models

eFigure 7. Operating Points

eFigure 8. Calibration Curves

eFigure 9. Prediction Performance at 360 Days for Individuals With and Without Other Neurodevelopmental

Conditions

eFigure 10. Sensitivity of Prediction Performance Stratified by Race to Follow-up Threshold

eFigure 11. Prediction Performance Stratified by Low Birth Weight

eFigure 12. Prediction Performance by Electronic Health Record System (Legacy vs Epic)

eFigure 13. Individual Feature Importance for the 30- and 360-Day Models

eFigure 14. Individual Feature Importance for the 60- and 90-Day Models

eFigure 15. Individual Feature Importance for the 180- and 270-Day Models

eFigure 16. Effect of Training Phenotype

eFigure 17. Model-Predicted Risk in the Secondary Evaluation Set

SUPPLEMENT 2.

**Data Sharing Statement**